

# PREA AUDIT: AUDITOR'S SUMMARY REPORT

## ADULT PRISONS & JAILS

Interim     Final Report

### Auditor Information

**Auditor name:** Katherine Brown

**Address:** 12121 Little Road Suite 286 Hudson, Florida 34667

**Email:** kbrown2828@yahoo.com

**Telephone number:** 727-470-4123

**Date of facility visit:** January 25, 2016

**Date report submitted:** January 29, 2015

### Facility Information

**Name of facility:** Carroll County Correctional Institute

**Physical address:** 96 Horsley Mill Road, Carrollton, GA 30117

**Mailing address:** N/A

**Telephone number:** 770-830-5905

<b>Carroll County Correctional Institute is:</b>	<input type="checkbox"/> Military	<input checked="" type="checkbox"/> County	<input type="checkbox"/> Federal
	<input type="checkbox"/> Private for profit	<input type="checkbox"/> Municipal	<input type="checkbox"/> State
	<input type="checkbox"/> Private not for profit		

<b>Facility Type:</b>	<input type="checkbox"/> Jail	<input checked="" type="checkbox"/> Prison
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<b>Name of facility's Chief Executive Officer:</b>	Robert Jones	<b>Title:</b>	Warden
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**Number of staff assigned to Carroll County Correctional Institute in the last 12 months:** 54

**Designed facility capacity:** 246

**Current population of facility:** 240

**Facility security levels/inmate custody levels:** Medium

**Age range of the population:** 19-66

<b>Name of PREA Compliance Manager:</b>	Otis Wilson	<b>Title:</b>	Deputy Warden
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<b>Email address:</b>	<a href="mailto:owilson@carrollcountyga.com">owilson@carrollcountyga.com</a>	<b>Telephone #</b>	770-550-6073
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### Agency Information

**Name of agency:** Carroll County Corrections Institution

**Governing authority or parent agency:** Carroll County Board of Commissioners

**Physical address:** 96 Horsley Mill Road

**Mailing address:** N/A

**Telephone number:** 770-830-5905

### Agency Chief Executive Officer

<b>Name:</b> Robert Jones	<b>Title:</b>	Warden
<b>Email address:</b> <a href="mailto:R.Jones@carrollcounty.ga.com">R.Jones@carrollcounty.ga.com</a>	<b>Telephone number:</b>	770-830-5905 Ext 102

### Agency-Wide PREA Coordinator

<b>Name:</b> N/A	<b>Title:</b>	
<b>Email address:</b>	<b>Telephone #</b>	

# AUDIT FINDINGS

## **NARRATIVE:**

The audit of Carroll County Prison was conducted on January 25, 2016 by Katherine Brown, Certified PREA auditor. The areas toured were a total of 6 housing units. There are 5 general population units and one administrative detention/segregation unit, plus the kitchen, laundry, programs area, and work areas.

An entrance meeting was held with facility staff. The following people were in attendance: Warden Robert Jones and Deputy Warden Otis Wilson.

Following the entrance meeting I toured the Carroll County Prison from 08:15-09:00. On the tour with me was Deputy Warden Otis Wilson.

I asked for an alpha listing of all inmates housed at Carroll County Prison and randomly selected 10 inmates to interview as well as any inmates who were limited English speaking or had hearing/vision impairment to be interviewed. There was no hearing/vision impairment inmates or Limited English speaking inmates. I also asked for any inmate, who was transgender/intersex; there were no transgender/intersex inmates. I asked for a shift roster and randomly selected staff to interview. I interviewed all staff present which was a total of 10 plus one of the outside detail officers.

There were three sexual assault/harassment allegation cases, all relatively recent (within the past year) one substantiated and two unsubstantiated.

## **DESCRIPTION OF FACILITY CHARACTERISTICS:**

Carroll County Prison is a Medium security, 246 bed male facility prison. The physical layout of Carroll County Prison consist of 5 dorms that houses up to 60 inmates in four dorms and 6 inmates in one laundry dorm. There are 6 Isolation or Administrative cells. They are designed to help with inmate security, disciplinary, medical segregation and behavior issues. The prison has a recreation yard behind the building, one kitchen, activity room, barber shop and dining area. All areas are in plain view of the control room and or monitored by our camera security system or officer presence at all times. During 2015 all facility interior doors to offices or rooms that inmates have access were fitted with glass windows to be more PREA compliant. The administration area contains four offices along with institutional, business records, officer lounge and one rest room.

## **SUMMARY OF AUDIT FINDINGS:**

Number of standards exceeded: 3

Number of standards met: 38

Number of standards not met:

Number of standards not applicable: 2

**§115.11 Zero tolerance of sexual abuse and sexual harassment; PREA coordinator**

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interviews with PREA Coordinator. Reviewed Carroll County Correctional Institute PREA policy pg. 1.; Zero Tolerance memo and Organizational Chart.

Carroll County Correctional Institute has a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment and outlines Carroll County Correctional Institute’s approach to preventing, detecting, and responding to such conduct.

Carroll County Correctional Institute employs or designates an upper-level, agency-wide PREA coordinator with sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards.

**§115.12 Contracting with other agencies for confinement of inmates**

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with agency’s contract compliance manager. Reviewed contract with GDOC and City of Villa Rica and City of Carrollton.

**§115.13 Supervision and monitoring**

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with Warden and PREA Coordinator. Reviewed Carroll County Correctional Institute PREA policy pg. 4.; GDOC II A07-0012; Staffing Plan and log book entries-Unannounced rounds.

Carroll County Correctional Institute has developed, documented, and made its best efforts to comply on a regular basis with a staffing plan that provides for adequate levels of staffing and uses video monitoring, to protect inmates against sexual abuse. It is strongly recommended that additional staffing levels be considered especially on the night shift and weekends. There are only four officers on a shift and one of those officers is in the control room. The officer to inmate ratio is 1:60. There is a total of 58 staff however that number is deceiving considering that only 28 are assigned to the facility, the other 30 are outside detail officers.

In circumstances where the staffing plan was not complied with, Carroll County Correctional Institute documented and justified all deviations from the plan.

Carroll County Correctional Institute completes an annual review, in consultation with the PREA coordinator required by § 115.11, to assess, determine, and document whether adjustments are needed.

<b>§115.14</b>	<b>Youthful inmates</b>
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- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)
- X Not Applicable Standard

**Auditor comments, including corrective actions needed if does not meet standard**

Carroll County Correctional Institute does not house juveniles.

<b>§115.15</b>	<b>Limits to cross gender viewing and searches</b>
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- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Reviewed Carroll County Correctional Institute PREA policy pg. 7.; GDPC SOP 208.06 IV A. 5 (a-g) and SOP IIB01-0013

Carroll County Correctional Institute does not conduct cross-gender strip searches or cross-gender visual body cavity searches (meaning a search of the anal or genital opening) except in exigent circumstances. In the event a cross gender search is done Carroll County Correctional Institute documents all cross-gender strip searches and cross-gender visual body cavity searches.

Carroll County Correctional Institute has policies and procedures that enable inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender

viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks. Such policies and procedures require staff of the opposite gender to announce their presence when entering an inmate housing unit.

Carroll County Correctional Institute does not search or physically examine a transgender or intersex inmate for the sole purpose of determining the inmate's genital status. If the inmate's genital status is unknown, it is determined during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner.

Carroll County Correctional Institute trains security staff in how to conduct cross-gender pat-down searches, and searches of transgender and intersex inmates, in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs.

<b>§115.16</b>	<b>Inmates with disabilities and limited English speaking</b>
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Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on random inmate and staff interviews and Based on interview with limited English speaking inmates. Reviewed Carroll County Correctional Institute PREA policy pg. 8 & 9.: GDOC 208.06 IV A.6. (a&b). Reviewed Language Line contract and video; PREA Pamphlet English/Spanish and PREA Poster.

There were no limited English speaking inmates or inmates with disabilities at the facility at the time of the audit.

Carroll County Correctional Institute takes appropriate steps to ensure inmates with disabilities (including, for example, inmates who are deaf or hard of hearing, those who are blind or have low vision, or those who have intellectual, psychiatric, or speech disabilities), have an equal opportunity to participate in or benefit from all aspects of Carroll County Correctional Institute's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including steps to provide interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary.

Carroll County Correctional Institute does not rely on inmate interpreters, inmate readers, or other types of inmate assistants except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety. None have been used or required.

<b>§115.17</b>	<b>Hiring and promotion decisions</b>
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Exceeds Standard (substantially exceeds requirement of standard)

- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with Human Resource Director Review of personnel files. Reviewed Carroll County Correctional Institute PREA pg. 9; GDOC SOP 208.06 IV.A.7 (a-d); GDOC SOP IV O 03-0012; GCIC-NCIC checks; New Hire Questionnaire and PREA policy Acknowledgement

Carroll County Correctional Institute does not hire or promote anyone who may have contact with inmates, and does not enlist the services of any contractor who may have contact with inmates, who has engaged in sexual abuse in any criminal justice facility, has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse; or has been civilly or administratively adjudicated to have engaged in the activity described above.

Carroll County Correctional Institute considers any incidents of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with inmates.

Carroll County Correctional Institute performs a criminal background records check before enlisting the services of any contractor who may have contact with inmates and performs a records check annually on all current employees and contractors who may have contact with inmates. Reviewed annual background checks.

Based on the annual background checks being performed annually on all staff, volunteers and contractors I find they exceed in this standard.

<b>§115.18</b> <b>Upgrades to facilities and technology</b>
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- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with warden. Reviewed Carroll County Correctional Institute PREA pg. 10 and Sexual Abuse Investigation.

When installing or updating a video monitoring system, electronic surveillance system, or other monitoring technology, Carroll County Correctional Institute considers how such technology may enhance Carroll County Correctional Institute's ability to protect inmates from sexual abuse. There have been no substantial or modifications to existing facilities.

In the past 12 months all new LED lights have been installed outside; PREA compliant door windows were installed. Officer body cameras have been issued and a secondary security fence was installed.

**§115.21 Evidence protocol and forensic medical exams**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with PREA compliance manager. Reviewed Carroll County Correctional Institute PREA policy pg. 11.; GDOC SOP 208.06 IV.B.1 (a-f); Staff Training for Victim Advocate; NIC Certificate – Medical Health Care for Sexual Assault Victims.

To the extent Carroll County Correctional Institute is responsible for investigating allegations of sexual abuse; Carroll County Correctional Institute follows a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions

Carroll County Correctional Institute offers all victims of sexual abuse access to forensic medical examinations, at an outside facility, without financial cost, where evidentiary or medically appropriate. Such examinations are performed by Sexual Assault Nurse Examiners (SANEs) from the GDCP or Tanner Emergency Room.

Carroll County Correctional Institute makes available to the victim a victim advocate from a rape crisis center. Carroll County Correctional Institute has an MOU with The Prevention and Advocacy Resource Center. They also have access to West Georgia Rape Crisis Center.

As requested by the victim, a victim advocate, accompanies and supports the victim through the forensic medical examination process and investigatory interviews and provide emotional support, crisis intervention, information, and referrals.

**§115.22 Policies to ensure referrals of allegations for investigations**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with agency head and investigative staff. Reviewed Carroll County Correctional Institute PREA pg. 12 & 13; GDOC SOP 208.06 IV. G.

Carroll County Correctional Institute ensures that an administrative or criminal investigation is completed for all allegations of sexual abuse and sexual harassment. Georgia Department of Corrections Internal Investigation Unit or Carroll County Sheriff's Office investigates all sexual assault allegations.

Carroll County Correctional Institute has a policy that ensures allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to

conduct criminal investigations, unless the allegation does not involve potentially criminal behavior. Carroll County Correctional Institute publishes such policy on its website.

**§115.31 Employee training**

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with random staff. Reviewed Carroll County Correctional Institute PREA policy pg. 13.; GDOC SOP 208.06 IV.C.2; In Service Training Sign in Sheet and lesson plan.

Carroll County Correctional Institute trains all employees who have contact with inmates on:

- (1) Its zero-tolerance policy for sexual abuse and sexual harassment;
- (2) How to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures;
- (3) Inmates' right to be free from sexual abuse and sexual harassment;
- (4) The right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment;
- (5) The dynamics of sexual abuse and sexual harassment in confinement;
- (6) The common reactions of sexual abuse and sexual harassment victims;
- (7) How to detect and respond to signs of threatened and actual sexual abuse;
- (8) How to avoid inappropriate relationships with inmates;
- (9) How to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates; and
- (10) How to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities.

The training is tailored to the gender of the inmates at Carroll County Correctional Institute. The employees receive additional training if the employee is reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa.

Carroll County Correctional Institute documents, through employee signature, those employees understand the training they have received.

**§115.32 Volunteer and contractors training**

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**



Based on interview with volunteer and contractors. Reviewed Carroll County Correctional Institute PREA policy pg. 16.; GDOC SOP 208.06 IV.C.3 (a-c); In Service Sign in Training Roster; Acknowledgement Statement for Supervised Visitors; PowerPoint and lesson plan.

Carroll County Correctional Institute ensures all volunteers and contractors who have contact with inmates have been trained on their responsibilities under Carroll County Correctional Institute's sexual abuse and sexual harassment prevention, detection, and response policies and procedures.

The level and type of training provided to volunteers and contractors is based on the services they provide and level of contact they have with inmates, but all volunteers and contractors who have contact with inmates are notified of Carroll County Correctional Institute's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents.

Carroll County Correctional Institute has documentation confirming that volunteers and contractors understand the training they have received.

### **§115.33 Inmate education**

Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

#### **Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with random inmates and intake staff. Reviewed Carroll County Correctional Institute PREA policy pg. 16 & 17; GDOC SOP 208.06 IV.C.4' GDOC SOP II B.18-0001; PREA Intake Video; pamphlet; Inmate Handbook; Poster; Language Line; 90 day Offender Sexual Abuse Review Checklist; PREA Sexual Victim/Aggressor Classification; Offender Orientation Checklist and PREA Resident Education lesson plan.

During the intake process, inmates receive information explaining Carroll County Correctional Institute's zero-tolerance policy regarding sexual abuse and sexual harassment and how to report incidents or suspicions of sexual abuse or sexual harassment.

Carroll County Correctional Institute provides a comprehensive education to inmates immediately upon arrival in person and through video regarding their rights to be free from sexual abuse and sexual harassment and to be free from retaliation for reporting such incidents, and regarding agency policies and procedures for responding to such incidents.

Carroll County Correctional Institute provides inmate education in formats accessible to all inmates, including those who are limited English proficient, deaf, visually impaired, or otherwise disabled, as well as to inmates who have limited reading skills. There is documentation of inmate participation in these education sessions.

Based on the Comprehensive training being performed immediately upon arrival I find they exceed in this standard.

### **§115.34 Specialized training: Investigators**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with investigative staff. Reviewed Carroll County Correctional Institute PREA policy pg. 17.; GDOC SOP 208.06 IV.C.5; NIC PREA Investigator Training; Training Records.

In addition to the general training provided to all employees Carroll County Correctional Institute ensures that the in house investigators have received training in conducting investigations in confinement settings.

Specialized training includes techniques for interviewing sexual abuse victims, proper use of Miranda and Garrity warnings, sexual abuse evidence collection in confinement settings, and the criteria and evidence required to substantiate a case for administrative action or prosecution referral. Carroll County Correctional Institute maintains documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations.

Georgia Department of Corrections Internal Investigation unit provides such training to its agents and investigators who conduct such investigations.

**§115.35 Specialized training: Medical and mental health care**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on Carroll County Correctional Institute PREA pg. 17; GDOC SOP VG17—0001; GDOC SOP VH04-0001; GDOC SOP VH05-0001 & 0002; NIC Specialized Training PREA Medical health Care for Sexual Assault Victims in a Confinement Setting.

Carroll County Correctional Institute ensures that all full and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to: detect and assess signs of sexual abuse and sexual harassment; preserve physical evidence of sexual abuse; respond effectively and professionally to victims of sexual abuse and sexual harassment; and how and to whom to report allegations or suspicions of sexual abuse and sexual harassment.

Carroll County Correctional Institute maintains documentation that medical practitioners have received the training.

Medical health care practitioners also receive the training mandated for employees, contractors and volunteers.

No mental health care is provided at Carroll County Correctional Institute all inmates requiring mental health evaluation and/or care are transported to Georgia Diagnostic and Classification Prison or Phillips SP for services and usually are transferred to another facility and are not returned to Carroll.

**§115.41 Screening for risk of victimization and abusiveness**

Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with random inmates and intake staff responsible for screening. Only limited staff has access to the risk screening form only Medical, Warden and Deputy Warden. Reviewed Carroll County Correctional Institute PREA policy pg. 18.; GDOC SOP 208.06 IV.D.1 (a-h); Victim/Aggressor Classification Instrument and rating system in SCRIBE.

All inmates are assessed during an intake screening and upon transfer to another facility for risk of being sexually abused by other inmates or sexually abusive toward other inmates.

Intake screenings take place immediately upon arrival at Carroll County Correctional Institute.

Carroll County Correctional Institute uses an objective screening instrument. Screenings are performed in SCRIBE using the Victim/Aggressor Classification Instrument that the Georgia Department of Corrections uses.

The intake screening considers, at a minimum, the following criteria to assess inmates for risk of sexual victimization:

- (1) Whether the inmate has a mental, physical, or developmental disability;
- (2) The age of the inmate;
- (3) The physical build of the inmate;
- (4) Whether the inmate has previously been incarcerated;
- (5) Whether the inmate's criminal history is exclusively nonviolent;
- (6) Whether the inmate has prior convictions for sex offenses against an adult or child;
- (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming;
- (8) Whether the inmate has previously experienced sexual victimization;
- (9) The inmate's own perception of vulnerability; and
- (10) Whether the inmate is detained solely for civil immigration purposes.

The initial screening considers prior acts of sexual abuse, prior convictions for violent offenses, and history of prior institutional violence or sexual abuse, as known to Carroll County Correctional Institute, in assessing inmates for risk of being sexually abusive.

All inmates are reassessed within 30 days from of the inmate's arrival at Carroll County Correctional Institute, Carroll County Correctional Institute reassesses the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by Carroll County Correctional Institute since the intake screening.

An inmate's risk level is reassessed when warranted due to a referral, request, incident of sexual abuse, or receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness.

Inmates are not disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked.

Carroll County Correctional Institute implements appropriate controls on the dissemination within Carroll County Correctional Institute of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the inmate's detriment by staff or other inmates.

Based on intake screenings being performed immediately upon arrival and that all inmates are reassessed every 30 days I find they exceed in this standard.

<b>§115.42</b>	<b>Use of screening information</b>
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Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with PREA compliance manager and staff responsible for risk screening. Reviewed Carroll County Correctional Institute PREA policy pg. 21.

Carroll County Correctional Institute has not had any transgender/intersex inmates however there are policies in place that address the following:

Carroll County Correctional Institute uses information from the risk screening to decide housing, bed, work, education, and program assignments with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive.

Carroll County Correctional Institute makes individualized determinations about how to ensure the safety of each inmate.

Carroll County has not had a transgender or intersex inmate, however there are policies in place to ensure the following:

In deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, and in making other housing and programming assignments, Carroll County Correctional Institute considers on a case-by-case basis whether a placement would ensure the inmate's health and safety, and whether the placement would present management or security problems.

Placement and programming assignments for each transgender or intersex inmate is reassessed every 30 days to review any threats to safety experienced by the inmate.

A transgender or intersex inmate's own views with respect to his or her own safety are given serious consideration.

Transgender and intersex inmates are given the opportunity to shower separately from other inmates.

Carroll County Correctional Institute does not place lesbian, gay, bisexual, transgender, or intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status, unless such placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting such inmates.

<b>§115.43</b> <b>Protective custody</b>
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- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with warden. Reviewed Carroll County Correctional Institute PREA policy pg. 22.and GDOC SOP 208.06 IV. D.3 (a-d)

No inmates have been placed in involuntary segregation housing. Inmates classified as high risk for sexual victimization would not be transferred to this work camp. However there are policies in place in the event they would receive one. They would process them in using these guidelines until such time as they are transferred to another facility.

Inmates at high risk for sexual victimization are not placed in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers.

Inmates placed in segregated housing for this purpose have access to programs, privileges, education, and work opportunities to the extent possible. If Carroll County Correctional Institute restricts access to programs, privileges, education, or work opportunities, Carroll County Correctional Institute documents the opportunities that have been limited, the duration of the limitation; and the reasons for such limitations.

<b>§115.51</b> <b>Inmate reporting</b>
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- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Reviewed Carroll County Correctional Institute PREA policy pg. 22. Based on interviews with random staff and inmates.

Carroll County Correctional Institute provides multiple internal ways for inmates to privately report sexual abuse and sexual harassment, retaliation by other inmates or staff for reporting sexual abuse and sexual harassment, and staff neglect or violation of responsibilities that may have contributed to such incidents. Inmates can contact GDOC Sexual Assault/Abuse hotline; report it on the dorm kiosk or verbally to any staff member or call the PREA hotline \*33.

Carroll County Correctional Institute provides at least one way for inmates to report abuse or harassment to a public or private entity or office that is not part of Carroll County Correctional Institute, and that is able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials, allowing the inmate to remain anonymous upon request. Inmates can report to the State Ombudsmen Office or The Prevention and Advocacy Resource Center.

Staff accepts reports made verbally, in writing, anonymously, and from third parties and promptly document any verbal reports.

Carroll County Correctional Institute provides a method for staff to privately report sexual abuse and sexual harassment of inmates.

<b>§115.52</b>	<b>Exhaustion of administrative remedies</b>
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Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Reviewed Carroll County Correctional Institute PREA policy pg. 23; GDOC SOP 208.06 IV. E. 1 & 2; SOP IIB05-0001 and IIB02-0001.

Carroll County Correctional Institute does not impose a time limit on when an inmate may submit a grievance regarding an allegation of sexual abuse.

Carroll County Correctional Institute does not require an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse.

Carroll County Correctional Institute ensures that an inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint, and such grievance is not referred to a staff member who is the subject of the complaint.

Carroll County Correctional Institute issues a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance.

Third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, are permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse, and are also be permitted to file such requests on behalf of inmates.

Carroll County Correctional Institute has established procedures for the filing of an emergency grievance when the inmate is subject to a substantial risk of imminent sexual abuse.

After receiving an emergency grievance alleging a substantial risk of imminent sexual abuse, Carroll County Correctional Institute immediately forwards the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action is taken, and provides an initial response within 48 hours, and issues a final agency decision within 5 calendar days. The initial response and final agency decision documents Carroll County Correctional Institute's determination whether the inmate is in substantial risk of imminent sexual abuse and the action taken in response to the emergency grievance.

Carroll County Correctional Institute may discipline an inmate for filing a grievance related to alleged sexual abuse only where Carroll County Correctional Institute demonstrates that the inmate filed the grievance in bad faith.

<b>§115.53</b>	<b>Inmate access to outside confidential support services</b>
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Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Reviewed Carroll County Correctional Institute PREA policy pg. 26 & 27. Based on interview with random inmates.

Carroll County Correctional Institute provides inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations. Inmates can contact "The Prevention and Advocacy Resource Center of Carrollton".

Carroll County Correctional Institute enables reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible.

Carroll County Correctional Institute informs inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws.

Carroll County Correctional Institute maintains memoranda of understanding with The Prevention and Advocacy Resource Center.

<b>§115.54</b>	<b>Third party reporting</b>
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Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Reviewed Carroll County Correctional Institute PREA policy pg. 27; GDOC SOP 208.06 IV. E. 4 and PREA pamphlet.

Carroll County Correctional Institute has a method to receive third-party reports of sexual abuse/harassment and distributes publicly, information on how to report sexual abuse and sexual harassment on behalf of an inmate.

§115.61

**Staff and agency reporting duties**

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Based on interviews with random staff; warden and medical/mental health staff. Reviewed Carroll County Correctional Institute PREA policy pg. 28.; GDOC SOP 208.06 IV. F.1 (g-i); PREA brochure Staff Guide on the Prevention & Reporting of Sexual Misconduct with Offenders.

Carroll County Correctional Institute requires all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of Carroll County Correctional Institute; retaliation against inmates or staff who reported such an incident; and any staff neglect or violation of responsibilities that may have contributed to an incident or retaliation.

Apart from reporting to designated supervisors or officials, staff does not reveal any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions.

If the alleged victim is considered a vulnerable adult under a State or local vulnerable person's statute, Carroll County Correctional Institute reports the allegation to the designated State or local services agency under applicable mandatory reporting laws.

Carroll County Correctional Institute reports all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to Carroll County Correctional Institute's designated investigators.

§115.62

**Agency protection duties**

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**



Based on interviews with random staff, and warden. Reviewed Carroll County Correctional Institute PREA policy pg. 28 & 29. and GDOC SOP 208.06 IV. F. 2.

Immediate action is taken to protect inmates when Carroll County Correctional Institute learns that an inmate is subject to a substantial risk of imminent sexual abuse.

§115.63	<b>Reporting to other confinement facilities</b>
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Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with agency head and warden. Reviewed Carroll County Correctional Institute PREA policy pg. 29. and GDOC SOP 208.06 IV. F. 3. (a-d).

Upon receiving an allegation that an inmate was sexually abused while confined at another facility, the head of Carroll County Correctional Institute that received the allegation notifies the head of Carroll County Correctional Institute or appropriate office of Carroll County Correctional Institute where the alleged abuse occurred. Such notification is provided as soon as possible, but no later than 72 hours after receiving the allegation, and all actions are thoroughly documented.

§115.64	<b>Staff first responder duties</b>
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Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with security staff who are first responders and random staff. Reviewed Carroll County Correctional Institute PREA policy pg. 29. and PREA Local Procedure Directive.

Upon learning of an allegation that an inmate was sexually abused, the first security staff member to respond separates the alleged victim and abuser; preserves and protects any crime scene until appropriate steps can be taken to collect any evidence; and if the abuse occurred within a time period that still allows for the collection of physical evidence, request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating; and if the abuse occurred within a time period that still allows for the collection of physical evidence, ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating.

If the first staff responder is not a security staff member, the responder request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff.

**§115.65 Coordinated response**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with warden. Reviewed Carroll County Correctional Institute PREA policy pg. 30; GDOC SOP 208.06 IV.F. 5 and PREA Local Procedure Directive.

Carroll County Correctional Institute has a written institutional plan to coordinate actions taken in response to an incident of sexual abuse, among staff first responders, medical and mental health practitioners, investigators, and facility leadership.

**§115.66 Preservation of ability to protect inmates from contact with abusers**

- Exceeds Standard (substantially exceeds requirement of standard)
  - Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
  - Does Not Meet Standard (requires corrective action)
- X Not applicable

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with agency head Carroll County Correctional Institute does not have collective bargaining.

**§115.67 Agency protection against retaliation**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with agency head, warden, designated staff member with monitoring retaliation; inmates in segregation for risk of sexual victimization. Reviewed Carroll County Correctional Institute PREA policy pg. 32.; GDOC SOP 208.06 IV. F. 6 (a-e) and PREA Local Procedure Directive.

Carroll County Correctional Institute has a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff, and designate which staff members or departments are charged with monitoring retaliation.

Carroll County Correctional Institute has multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff that fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations.

For at least 90 days following a report of sexual abuse, Carroll County Correctional Institute monitors the conduct and treatment of inmates or staff who reported the sexual abuse and of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff, and act promptly to remedy any such retaliation. There is periodic status checks performed. Items Carroll County Correctional Institute should monitor include any inmate disciplinary reports, housing, or program changes, or negative performance reviews or reassignments of staff. Carroll County Correctional Institute continues such monitoring beyond 90 days if the initial monitoring indicates a continuing need.

If any other individual who cooperates with an investigation expresses a fear of retaliation, Carroll County Correctional Institute takes appropriate measures to protect that individual against retaliation.

§115.68	<b>Post allegation protective custody</b>
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- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Reviewed Carroll County Correctional Institute PREA policy pg. 33. Based on interview with warden and inmates in segregation for risk of sexual victimization.

Any use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse receives all the same rights and privileges as general population inmates.

§115.71	<b>Criminal and administrative agency investigation</b>
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- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with investigative staff. Reviewed Carroll County Correctional Institute PREA policy pg. 34-36.; GDOC SOP 208.06 IV. G.3.; Investigators training records and PREA Monthly Report.

When Carroll County Correctional Institute conducts its own investigations into allegations of sexual abuse and sexual harassment, it does so promptly, thoroughly, and objectively for all allegations, including third-party and anonymous reports. Carroll County Correctional Institute uses GDOC Internal Affairs division or Carroll County Sheriff Office to investigate all allegations of sexual abuse involving inmates.

Where sexual abuse is alleged, Carroll County Correctional Institute uses investigators who have received special training in sexual abuse investigations.

Investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data; interview alleged victims, suspected perpetrators, and witnesses; and review prior complaints and reports of sexual abuse involving the suspected perpetrator.

When the quality of evidence appears to support criminal prosecution, Carroll County Correctional Institute conducts compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution.

The credibility of an alleged victim, suspect, or witness is assessed on an individual basis and is not determined by the person's status as inmate or staff. No agency requires an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding with the investigation of such an allegation.

Administrative investigations include efforts to determine whether staff actions or failures to act contributed to the abuse; and are documented in written reports that contain a thorough description of physical, testimonial, and documentary evidence, the reasoning behind credibility assessments, and investigative facts and findings, and attaches copies of all documentary evidence where feasible.

Substantiated allegations of conduct that appears to be criminal are referred for prosecution to the Carroll County Sheriff's Office.

Carroll County Correctional Institute retains all written reports for as long as the alleged abuser is incarcerated or employed by Carroll County Correctional Institute, plus five years.

The departure of the alleged abuser or victim from the employment or control of Carroll County Correctional Institute or agency does not provide a basis for terminating an investigation.

§115.72

**Evidentiary standard for administrative investigation**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with investigative staff. Reviewed Carroll County Correctional Institute PREA policy pg. 37; GDOC SOP 208.06 IV. G.14 and GDOC SOP IK01-0006.

Carroll County Correctional Institute imposes no standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated.

§115.73	<b>Reporting to inmates</b>
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Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with warden; investigative staff; inmate who reported sexual assault. Reviewed Carroll County Correctional Institute PREA policy pg. 37. and PREA Allegation Notification Letter.

Following an investigation into an inmate's allegation that they suffered sexual abuse in an agency facility, Carroll County Correctional Institute informs the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded.

If Carroll County Correctional Institute did not conduct the investigation, it requests the relevant information from the investigative agency in order to inform the inmate.

Following an inmate's allegation that a staff member has committed sexual abuse against the inmate, Carroll County Correctional Institute subsequently informs the inmate (unless Carroll County Correctional Institute has determined that the allegation is unfounded) whenever the staff member is no longer posted within the inmate's unit; the staff member is no longer employed at Carroll County Correctional Institute; Carroll County Correctional Institute learns that the staff member has been indicted on a charge related to sexual abuse within Carroll County Correctional Institute; or Carroll County Correctional Institute learns that the staff member has been convicted on a charge related to sexual abuse within Carroll County Correctional Institute.

Following an inmate's allegation that they had been sexually abused by another inmate, Carroll County Correctional Institute subsequently informs the alleged victim whenever Carroll County Correctional Institute learns that the alleged abuser has been indicted on a charge related to sexual abuse within Carroll County Correctional Institute; or Carroll County Correctional Institute learns that the alleged abuser has been convicted on a charge related to sexual abuse within Carroll County Correctional Institute.

All such notifications or attempted notifications are documented.

An agency's obligation to report under this standard is terminated if the inmate is released from Carroll County Correctional Institute's custody.

§115.76	<b>Disciplinary sanctions for staff</b>
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- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Reviewed Carroll County Correctional Institute PREA policy pg. 39.; GDOC SOP 208.06 IV. H; Sexual Assault/Sexual Misconduct Acknowledgment Statement and Carroll Board of Commissioners Disciplinary Action Form.

Staff is subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies. Termination is the presumptive disciplinary sanction for staff who has engaged in sexual abuse.

Disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) are commensurate with the nature and circumstances of the acts committed, the staff member’s disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories.

All terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, are reported to law enforcement agencies, unless the activity was clearly not criminal, and to any relevant licensing bodies.

<b>§115.77</b>	<b>Corrective action for contractors and volunteers</b>
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- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with warden. Reviewed Carroll County Correctional Institute PREA policy pg. 40.; GDOC SOP 208.06 IV. H.2 and Sexual Assault/Sexual Misconduct Acknowledgment Statement.

Any contractor or volunteer who engages in sexual abuse is prohibited from contact with inmates and are reported to law enforcement agencies, unless the activity was clearly not criminal, and to relevant licensing bodies.

Carroll County Correctional Institute takes appropriate remedial measures, and considers whether to prohibit further contact with inmates, in the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer.

<b>§115.78</b>	<b>Disciplinary sanctions for inmates</b>
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- Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with medical/mental health staff. Reviewed Carroll County Correctional Institute PREA policy pg. 40; GDOC SOP 208.06 IV.H.3 and SOP IIB02-0001.

Inmates are subject to disciplinary sanctions pursuant to a formal disciplinary process following an administrative finding that the inmate engaged in inmate-on-inmate sexual abuse or following a criminal finding of guilt for inmate-on-inmate sexual abuse.

Sanctions are commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories.

The disciplinary process considers whether an inmate's mental disabilities or mental illness contributed to his behavior when determining what type of sanction, if any, should be imposed.

Carroll County Correctional Institute disciplines an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact.

Carroll County Correctional Institute does not offer therapy or counseling services to inmates. If any inmate is identified as needing mental health services they are transferred to either Georgia Diagnostic and Classification Prison or Phillips SP.

A report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred does not constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation.

Carroll County Correctional Institute prohibits all sexual activity between inmates and may discipline inmates for such activity.

§115.81	<b>Medical and Mental health screening; history of sexual abuse</b>
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Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with staff responsible for risk screening and medical staff. Reviewed Carroll County Correctional Institute PREA policy pg. 15.; Medical PREA Log; Victim Assistance Training on Line; MH/MR Evaluation for services; Nursing Assessment Form and PREA Medical Health Care for Sexual Assault Victims in a Confinement Setting.

If the screening indicates that an inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake

screening. Mental health services are provided by GDCP or alternate West Georgia Rape Crisis Center.

If the screening indicates that an inmate has previously perpetrated sexual abuse/prior sexual victimization, whether it occurred in an institutional setting or in the community, staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening.

Any information related to sexual victimization or abusiveness that occurred in an institutional setting is strictly limited to medical and mental health practitioners and other staff, as necessary, to inform treatment plans and security and management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law.

Medical and mental health practitioners obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting.

§115.82	<b>Access to emergency medical and mental health services</b>
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- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with medical and mental health staff and inmates who reported sexual abuse. Reviewed Carroll County Correctional Institute PREA policy pg. 42.; SOP IIA21-0001. and MOU with Prevention & Advocacy Resource Center.

Inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment.

If no qualified medical practitioners are on duty at the time a report of recent abuse is made, security staff first responders take preliminary steps to protect the victim and immediately notify the appropriate medical and mental health practitioners.

Inmate victims of sexual abuse while incarcerated are offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate.

Treatment services are provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.

§115.83	<b>ongoing medical and mental health care for sexual abuse victims</b>
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- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)



Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with medical staff. Reviewed Carroll County Correctional Institute PREA policy pg. 15.; GDOC Mental Health Counselors or Prevention & Advocacy Center of Carrollton

Carroll County Correctional Institute offers medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility. Mental Health Services are provided at either Jackson SP or Phillips SP.

The evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody.

Carroll County Correctional Institute provides such victims with medical and mental health services consistent with the community level of care.

Inmate victims of sexual abuse while incarcerated are offered tests for sexually transmitted infections as medically appropriate.

Treatment services are provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.

§115.86

**Sexual abuse incident reviews**

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with warden, PREA compliance manager; incident review team. Reviewed Carroll County Correctional Institute PREA policy pg. 44; PREA Notification Letter and Debriefing Review report.

Carroll County Correctional Institute conducts a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded. This review occurs within 30 days of the conclusion of the investigation. The review team includes upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners.

The review team considers whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse; whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; or gang affiliation; or was motivated or otherwise caused by other group dynamics at Carroll County Correctional Institute; and they examine the area in Carroll County Correctional Institute where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse; assess the adequacy of

staffing levels in that area during different shifts; assess whether monitoring technology should be deployed or augmented to supplement supervision by staff.

§115.87

**Data collection**

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Reviewed Carroll County Correctional Institute PREA POLICY pg. 17. and reviewed Monthly Comstat Report.

Carroll County Correctional Institute collects accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions, and aggregates the incident-based sexual abuse data at least annually.

The incident-based data collected is based on the most recent version of the Survey of Sexual Violence conducted by the Department of Justice.

Carroll County Correctional Institute maintains, reviews, and collects data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews.

Carroll County Correctional Institute obtains incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates.

Upon request, Carroll County Correctional Institute provides all such data from the previous calendar year to the Department of Justice no later than June 30.

§115.88

**Data review for corrective action**

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with PREA coordinator. Reviewed Carroll County Correctional Institute PREA policy pg. 46.

Carroll County Correctional Institute reviews data collected to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including identifying problem areas; taking corrective action on an ongoing basis; and preparing an annual report of its findings and corrective actions for each facility, as well as Carroll County Correctional Institute as a whole.

Such reports includes a comparison of the current year’s data and corrective actions with those from prior years and provide an assessment of Carroll County Correctional Institute’s progress in addressing sexual abuse.

Carroll County Correctional Institute’s report is approved by Carroll County Correctional Institute head and made readily available to the public through its website [www.carrollcountyga.com/285/Prison-Correctional-Institute](http://www.carrollcountyga.com/285/Prison-Correctional-Institute).

§115.89	<b>Data storage, publication and destruction</b>
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- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Carroll County Correctional Institute makes all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website [www.carrollcountyga.com/285/Prison-Correctional-Institute](http://www.carrollcountyga.com/285/Prison-Correctional-Institute).

All reports are securely retained and maintained for at least 10 years after the date of the initial collection unless Federal, State, or local law requires.

**AUDITOR CERTIFICATION:**

The auditor certifies that the contents of the report are accurate to the best of her knowledge and no conflict of interest exists with respect to his or her ability to conduct an audit of Carroll County Correctional Institute under review.

*Katherine Brown*

January 29, 2016

Auditor Signature

Date